			/	OIPE			Page1	_ of <u>1</u>	
Form PTC (Rev. 2-32		U.S. Department of Patent and Tradem		DEC 2 1 2000	Atty. Do SUN-P4		Serial No 09/661,58		
	Info	rmation Disclosure S	Statement by A	Naplicant (13)	Applican Schwabe	, Judith			
		(Use several shee	ets if necessary)		Filed:	September 14	, 2000 Group	o: 2122	
			τ	U.S. Patent Documen	ıts				
Init.		Document No.	Date	Name		Class	Subclass	Filing	Date
9	Α	5,748,964	5/5/98	Gosling		395	705	12/20	/1994
Ú)	В	5,615,137	3/25/97	Holzmann et al.		364	578	6/21/1	996
							RECEIV	ΈD	
							1		
						Tec	nology Cen	ter 2100	<u> </u>
	ļ								
	<u></u>			<u></u>		<u> </u>			
·				Foreign Documents	S			Tran	slation
Init.	T	Document No.	Date	Country		Class	Subclass	Yes	No
a h	С	0 685 792 A1	5/24/1995	EUROPE		G06F	11/00	X	
3	D	WO 98/19237	5/7/1998	WO		G06F	9/46	X	
								-	
							<u> </u>		
	<u> </u>			1			,		<u> </u>
	E	David Basin "Java I	Bytecode Verifi	ding Author, Title, I cation by Model Che				IT-Resea	arch
$\frac{\mathcal{O}}{\mathcal{O}}$		Security (TZ/FE34)	<u> </u>						
		•							
Examiner		Charli	- CD- A			Date Consid	lered a/1	9/10/	,
1			·	not citation is in conf			•	_	tation

A DRADSHARE COPY OF PAPERS Form PTO 1449 ORIGINALLY FILED (Rev. 2-32) Page ____ of ___1 U.S. Department of Commerce Patent and Trademark Office Atty. Docket No. SUN-P4182 Serial No. Information Disclosure Statement by Applicant 09/661,582 Applicant: Schwabe, Judith E. (Use several sheets if necessary) Filed: 09/14/00 Group: 2122 Init. Document No. **U.S. Patent Documents** Date C Name 5,446,901 8/29/95 Class Owicki et al. 5,884,316 CD Subclass Filing Date 03/16/99 395 Bernstein et al. W 6,182,158 700 6/30/93 01/30/01 707 Kougiouris et al. 6,212,633 103 11/19/96 04/03/01 709 Levy et al. 328 8/19/97 713 153 05/26/98 HUN 2 5 20/02

Technology Center 2100

Init.	Document No.		Foreign Documents			
		Date	Country			
		+		Class	Subclass	Translation Yes No
					-	
		-				
				+		
A	Other Docum Daniels, John et al., "St UK, pages 27-36	nents (Includ	ing Author, Title, Date, Per			
	UK, pages 27-36	rategies For S	Sharing Objects V. Date, Per	tinent Pages		

	- 0.0				
	A Daniels John at	ocuments (Including Auth ., "Strategies For Sharing O			
<u> </u>	UK, pages 27-36	., "Strategies For Sharing O	or, Title, Date, Pertiner	it Pages et	
<u> </u>		ocuments (Including Auth ., "Strategies For Sharing O	ojects in Distributed Sys	tems", JOOP OF	-
<u> </u>					Designers Ltd.,
Examiner					
	Chamli	2			

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation Examiner. Initial it chanon considered, whether of not chanon is in comormance with further our, Draw time unif not in conformance and not considered. Include a copy of this form with the next communication to applicant.

,,,,,	P. L. 2002 4 2002			» . •		Page1	_ of1	
CALLAT & TH	Form PTO 1	ILS Department	• 6 C =		Atty. Docket No. SUN-P4182	Serial No 09/661,58		
	(Rev. 2-32)	U.S. Department Patent and Tradem		5	3UN-F4102	09/001,30	52	
	Information Disclosure Statement by Applicant				Applicant: Schwabe, Judith			
	(Use several sheets if necessary)				Filed: September 14, 2000 Group:			
				U.S. Patent Doc	uments			
	Init.	Document No.	Date	Name	Class	Subclass	Filing Date	
							<u> </u>	
				-				
	1 1			i		i	I	

Foreign Documents

Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)

Chen, Zhiqun, "Java Card™ Technology for Smart Cards", Sun Microsystems, pages 11- 16, June, 2000

Ritchey, Tim, "Advanced Topics: The Java Virtual Machine", Java!, Chapter 14, pages 25-346, September

Sun Microsystems, Inc., "Java Card™ 2.0 Language Subset and Virtual Machine Specification", October 13,

Sun Microsystems, Inc., "Java Card™ 2.0 Programming Concepts", October 15, 1997, Revision 1.0 Final.

"Sun Delivers On Vision to Bring JAVA Technology to the Consumer and Embedded Market", Business

Sun Microsystems: "Sun's JAVA Technology Leads Open Worldwide Market for Smart Cards", M2,

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation

http.//home.hkstar.com/~alanchan/papers/multiApplicationsSmartCard/, July 25, 2002

"Sun Microsystems Announces JAVACARD API", Business Wire, October 1996

if not in conformance and not considered. Include a copy of this form with the next communication to applicant.

Country WO

EPO

Init.

CD

0

 \bigcirc

S

Examiner

Document No.

98/37526

0 718 761

22, 1995

1997, Revision 1.0 Final.

Wire, September 28, 1999

Presswire, May 1999

Α

В

C

D

E

G

Η

Date

19.02.98

19.12.95

Chan, "Infrastructure of Multi-Application Smart Card",

Technology Center 2100

Subclass

G06F 9/44

Class

G07F 7/10

G06F 9/455

Date Considered

Translation

No

Yes

Form PTO 1449
(Rev. 2132)

Form PTO 1449 (Rev. 2 ¹ 32) U.S. Department of Patent and Trademark		Atty. Docket No. SUN-P4182 Serial No. 09/661,582								
Information Disclosure Sta	Applicant	Applicant: Schwabe, Judith E.								
(Use several sheets		Filed: September 14, 2000 Group: Unassigned								
(000 00 00 00 00 00 00 00 00 00 00 00 00	,		anta				-			
Init. Document No.	Date	V.S. Patent Docume	ents	Class	Subclass	Filing	Date			
5,999,731	12/7/99	Yellin et al.		G06F	9/45	3/24/9	8			
6,477,702	11/5/02	Yellin et al.		G06F	9/45	11/9/0	0			
										
						ED				
					RECEIV	السال				
	<u> </u>				RECEIV MAR 17	5003				
					MAK -	10° 21	co			
					AND T I	High er				
					NGO: W					
		· ·								
	·									
		-								
				<u> </u>	<u> </u>					
		Foreign Documen	ıts				1			
Init. Document No.	Date	Country	 .	Class	Subclass	Yes	slation No			
	1/6/00	EP		G06F	11/00	Abstract	110			
	3/1/01	FR		G06F	9/00	-				
WO 01/14958	3/1/01	TK		Goor	7700	_				
Other Docu	ments (Incl	uding Author, Title,	Date, Perti	inent Pages,	etc.)					
George E. Necula, et	Other Documents (Including Author, Title, Date, Pertinent Pages, etc.) George E. Necula, et al., "Proof-Carrying Code", November 1996, pp. 1-60									
	Joachim Posegga, et al., "Byte Code Verification for Java Smart Cards Based on Model Checking", September 16-18, 1998, pp. 176-190									
						/	,			
Examiner Charl.		12~		Date Consid	-11	29/	03			
Examiner: Initial if citation considered if not in conformance and not considered	i, whether or ed. Include 2	not citation is in con a copy of this form w	itormance w	nth MPEP 60 communication	9; Draw line thr on to applicant.	ougn tit	ation			